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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,218	02/07/2002	Ross Halgren	47868/DBP/C664

CONFIRMATION NO. 9260

## FORMALITIES LETTER

\*OC000000007769770\*

CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068

Date Mailed: 04/03/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$958.
  - \$594 for 33 total claims over 20.
  - \$84 for 1 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1828.

Adjustment date: 07/00/2002 SSALEKU  
05/11/2002 EXAMINER 00000020 10071210  
05 FC:103 -630.00 02

07/00/2002 SSALEKU 00000007 10071210

01 FC:103

A copy of this notice MUST be returned with the reply.

Repln. due 07/00/2002 SSALEKU 0009322300  
02/03/2002 EXAMINER 00000020 10071210  
FC: 703

Initial Patent Examination (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 29, 2002.*

  
Alex Martinez

Applicant : Ross Halgren, et al.  
Application No. : 10/071,218  
Filed : February 7, 2002  
Title : PATH PROTECTION IN WDM NETWORK  
  
Grp./Div. : 2633  
Examiner : N/A  
  
Docket No. : 47868/DBP/C664

LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
May 29, 2002

Commissioner:

In response to the Notice to File Missing Parts of Application dated **April 3, 2002**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Check for \$1,734.00, for the filing fee and a surcharge check for \$130.00.
3. Executed Declaration and Power of Attorney.
4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

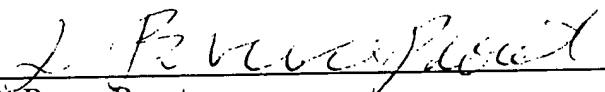
The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728.

Application No. 10/071,218

Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

Enclosures: As Listed

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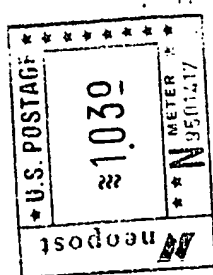
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C664:47868/DBP/aam

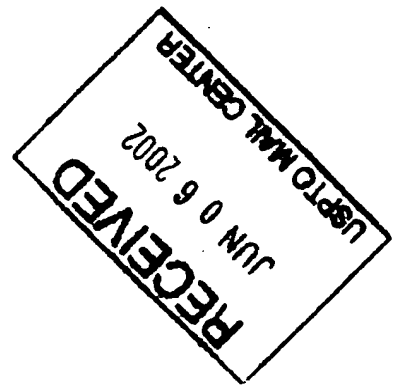
FROM

CHRISTIE, PARKER & HALL, LLP  
*Lawyers*

POST OFFICE BOX 7068, PASADENA, CALIFORNIA 91109-7068

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